

# MICHAELSON & WALLACE

A Partnership of Intellectual Property and Technology Lawyers

December 7, 1998

## PATENT APPLICATION

Assistant Commissioner for Patents

**BOX MISSING PARTS**

Washington, D. C. 20231

Sir:

In response to a "Notice to File Missing Parts of Application" (PTO-1533) we received in connection with the following US patent application:

Applicants: **Craig REDING, Menno AARTSEN, Lisa M. AMBERGER, Constance CARLSON, Suzi LEVAS, Mike METAXAS, Jan STEIN, Rao TANUKU, Thea TURNER**

Title of application: **METHODS AND APPARATUS FOR AUTOMATING THE SERVICING OF TELEPHONE CALLS INCLUDING CALLS REQUESTING DIRECTIONAL AND/OR OTHER TYPES OF INFORMATION**

Serial No.: **09/154,965**

Filed: **September 17, 1998**

Attorney Docket No.: **NYNEX-27/CIP3**

We enclose the following material herewith:

- XX** Postcard receipt;
- XX** Our check in the amount of \$ 1,306.00 to cover the basic filing fee of \$ 790.00, the additional claim fee of \$ 346.00, the surcharge under 37 CFR 1.16(e) in the amount of \$ 130.00 and assignment recordation fee of \$ 40.00;
- XX** Executed Declaration and Power of Attorney forms (six forms, totaling 42 pps.);
  1. Executed by Craig Reding, Suzi Levas, Mike Metaxas and Rao Tanuku on December 2, 1998 (7 pps.);
  2. Executed by Thea Turner on November 20, 1998 (7 pps.);

Peter L. Michaelson  
NJ, NY, PA Bars

Robert M. Wallace  
NY, CA Bars

Edmond A. DeFrank  
CA Bar

John C. Pokotylo  
NJ, NY Bars

Michael P. Straub  
NJ, NY Bars

Christopher R. Balzan  
CA Bar

Craig S. Fischer  
CA Bar

Jeremiah G. Murray  
Patent Agent

Of Counsel:

Richard T. Lyon  
CA Bar

John T. Peoples  
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Ronald L. Drumheller  
NY Bar

Edward M. Fink  
NJ, NY, D.C. Bars

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Please reply:

- ☒ Parkway 109 Office Ctr.  
328 Newman Springs Rd.  
P. O. Box 8489  
Red Bank, NJ 07701  
Tel: 732-530-6671  
Fax: 732-530-6584 or  
732-530-6585  
Videoconference:  
732-224-0132 (ISDN)

- ☐ 1001 Partridge Drive  
Suite 330  
Ventura, CA 93003  
Tel: 805-644-4035  
Fax: 805-644-8046

3. Executed by Constance Carlson on November 18, 1998 (7 pps.)
4. Executed by Menno E. Aartsen on November 12, 1998 (7 pps.)
5. Executed by Lisa M. Amberger on November 12, 1998 (7 pps.)
6. Executed by Jan Stein on November 14, 1998 (7 pps.)

XX Six (6) Assignment forms (totaling 61 pps.) with Recordation Form Cover Sheet to Bell Atlantic Network Services, Inc.;

1. Executed by Craig Reding, Suzi Levas, Mike Metaxas and Rao Tanuku on December 2, 1998 (10 pps.);
2. Executed by Thea Turner on November 20, 1998 (10 pps.); and
3. Executed by Constance Carlson on November 18, 1998 (10 pps.);
4. Executed by Menno Aartsen on November 12, 1998 (10 pps.);
5. Executed by Lisa M. Amberger on November 12, 1998 (10 pps.); and
6. Executed by Jan Stein on November 14, 1998 (10 pps.);

XX Copy of PTO-1533 (Notice to File Missing Parts) issued in connection with this application.

In the event, we have indicated that a check is enclosed and this check is missing, is for an insufficient amount or is unacceptable in any way, please charge the applicable fees to our Deposit Account Number **13-3083**. A copy of this letter is also enclosed herewith.

Respectfully submitted,

MICHAELSON & WALLACE

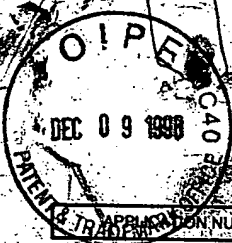
Michael P. Straub  
Michael P. Straub, Attorney  
Reg. No. 36,941  
Customer No. 007265  
(732) 530-6671

CERTIFICATE OF MAILING under 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited on **December 7, 1998** with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to the Assistant Commissioner for Patents, **Box Missing Parts**, Washington, D.C. 20231.

Michael B. Shamb  
Signature

36,941  
Reg. No.



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

# 2

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST-NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/154,965 09/17/98 REDING-3C

NYNEX27/CIH

09/265  
MICHAELSON AND WALLACE  
PARKWAY 1109 OFFICE CENTER  
328 NEWMAN SPRINGS RD.,  
P.O. BOX 8489  
RED BANK NJ 07701

023271005

NOT ASSIGNED

2761

DATE MAILED:

10/05/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 1266.00.

☐ 1. The statutory basic filing fee is:

- ☒ missing.
- ☐ insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ 82.00 for \_\_\_\_\_ independent claims over 3.

\$ 264.00 for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath of declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.121(a)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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